Lateralization for speech predicts therapeutic response to cognitive behavioral therapy for depression

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A B S T R A C T
A prior study (Bruder, G.E., Stewart, J.W., Mercier, M.A., Agosti, V., Leite, P., Donovan, S., Quitkin, F.M., 1997. Outcome of cognitive-behavioral therapy for depression: relation of hemispheric dominance for verbal processing, Journal of Abnormal Psychology 106, 138–144.) found left hemisphere advantage for verbal dichotic listening was predictive of clinical response to cognitive behavioral therapy (CBT) for depression. This study aimed to confirm this finding and to examine the value of neuropsychological tests, which have shown promise for predicting antidepressant response. Twenty depressed patients who subsequently completed 14 weeks of CBT and 74 healthy adults were tested on a Dichotic Fused Words Test (DFWT). Patients were also tested on the National Adult Reading Test to estimate IQ, and word fluency, choice RT, and Stroop neuropsychological tests. Left hemisphere advantage on the DFWT was more than twice as large in CBT responders as in non-responders, and was associated with improvement in depression following treatment. There was no difference between responders and non-responders on neuropsychological tests. The results support the hypothesis that the ability of individuals with strong left hemisphere dominance to recruit frontal and temporal cortical regions involved in verbal dichotic listening predicts CBT response. The large effect size, sensitivity and specificity of DFWT predictions suggest the potential value of this brief and inexpensive test as an indicator of whether a patient will benefit from CBT for depression.

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1. Introduction

Cognitive behavioral therapy (CBT) is an evidence-based treatment for depression but, like medication, it is effective in only 40% to 60% of patients having a major depressive disorder (MDD) (DeRubeis et al., 2005; Siegle et al., 2011). This has led to research to identify predictors to aid in selecting a treatment that will benefit a patient. Although there are encouraging findings for potential neuroimaging biomarkers (Siegle et al., 2012; McGrath et al., 2013), these tests are expensive and may be difficult to implement in clinical settings. Although studies have raised the possibility of developing inexpensive behavioral tests for predicting response to CBT, the findings of early studies using self-report measures of beliefs or attitudes were conflicting (Rude and Rehm, 1991; Sotsky et al., 1991). There has, however, been less research on whether performance on neuropsychological tests of cognitive abilities might predict CBT response. Studies using self-administered tests as estimates of IQ have reported conflicting findings. Haaga et al. (1991) measured vocabulary and abstraction scales in depressed patients, but found no relationship between IQ estimates and CBT outcome. More recently, Fournier et al. (2009) derived IQ scores from the Shipley–Hartford Living Scale and found higher estimates of intelligence before CBT or antidepressant medications were associated with lower depression ratings at end of treatment.

Cognitive therapies are highly verbal treatments that involve self-monitoring and re-evaluation of negative thoughts, emotions and cognitive distortions, which may be mediated by cognitive skills in which verbal abilities play an important role. Treatment success may therefore depend on verbal skills and activation of the left hemisphere, which is dominant for language processing in most right-handed individuals (Otto et al., 1987). In our initial study (Bruder et al., 1997), we found that clinical response to CBT for depression was related to left-hemisphere advantage for verbal dichotic listening. Different consonant–vowel syllables were simultaneously presented to the left
and right ears, and the difference in accuracy of performance between ears provided an index of perceptual asymmetry (PA). Depressed patients who responded to 16 weekly CBT sessions (n = 15) had more than twice the right ear advantage than non-responders (n = 12). Patients with right ear accuracy greater than healthy controls had a 75% response rate, whereas those with less than normal right ear accuracy had only a 20% response rate. Given the largely contralateral projections between each ear and hemisphere, this is consistent with the hypothesis that patients with greater left-hemisphere advantage for verbal processing benefit more from CBT than other depressed patients. In contrast, there was no difference between CBT responders and non-responders in PA for a non-verbal dichotic listening test, which further supports left hemisphere dominance for verbal processing as a key predictor of response to CBT for depression. It is, however, important to demonstrate that this predictor is reliable and the findings replicable.

The main purpose of the present study was to attempt to replicate our findings in a new sample of depressed patients using a Dichotic Fused Words Test (DFWT), which yields a robust left hemisphere advantage in healthy adults (Wexler and Halwes, 1983). In this test, words that rhyme (e.g., coat and goat), are simultaneously presented to right and left ear. These words fuse into a single percept and accuracy for reporting the word in the right or left ear provides a measure of PA. This test has been shown to yield valid estimates of hemispheric lateralization for speech as determined by intracarotid amobarbital injections (Zatorre, 1989; Fernandes and Smith, 2000), and has high test–retest reliability (r = 0.85; Wexler and Halwes, 1983). Patients having a depressive disorder were tested on the DFWT prior to receiving CBT, and healthy adults were tested to provide normative data. We previously found that depressed patients who respond to an antidepressant had a larger left hemisphere advantage than non-responders on the DFWT, and the mean PA for a non-verbal dichotic listening test, which was established in patients via physical examination as part of the initial screening. Participants were excluded if they had an unstable medical or neurological condition or a hearing loss greater than 30 dB at 500, 1000 or 2000 Hz. The healthy controls were tested on the DFWT as part of a separate study in our laboratory over the same time frame as the patients and were screened using the Structured Clinical Interview for DSM-IV Axis I Disorders, Nonpatient Edition (First et al., 1996) to exclude those with Axis I disorders, except for nicotine dependence. All participants were right-handed and fluent in English. The New York State Psychiatric Institute Institutional Review Board (IRB) approved the protocol. All patients provided informed consent prior to enrolling in the study.

2.2. Treatment protocol

Twenty patients completed 14 weekly 50 min sessions of CBT free of charge. Therapy sessions used a CBT manual protocol for depression (Emery, 2000). The study author (RK) is a CBT therapist who has been in clinical practice for 25 years, and has been providing CBT sessions in different studies at New York State Psychiatric Institute (NYSPI) for the past 8 years. Her sessions are rated by the Beck Institute in Philadelphia on a regular basis and have reached adherence. CBT was provided by 3 graduate students of Clinical Psychology who were at the last phase of their PhD program and had extensive clinical experience in externships before joining the study. They were selected and supervised by the study author (RK) and the head psychologist of NYSPI (L. Mufson). Before seeing patients in the study, all therapists received a treatment manual and training consisting of a short theoretical course and role playing in a clinical setting. Under the supervision of the study author (RK), they were assigned at least 3 training cases to ensure their clinical skills before working with study participants. During the study, the therapists received weekly individual supervision from the study author, who evaluated their sessions based on their reports and audiotape of their sessions to ensure adherence to the treatment manual.

2.3. Assessment of treatment response

Clinical response was based on ratings from the 17-item Hamilton Depression Rating Scale (HAM-D17, Hamilton, 1960) by an independent evaluator who was blind to the dichotic listening and neuropsychological test data, but who knew the treatment being given. The evaluator has a master degree in clinical and counseling psychology and was specifically trained for this role. Patients showing a reduction in HAM-D17 > 50% from baseline to completion of CBT were considered to be treatment responders. Of 20 patients who completed the 14 weeks of CBT, 11 (55%) were responders and 9 were non-responders. As shown in Table 1, the responders and non-responders group did not significantly differ in gender, age, or education, and all were right-handed as indicated by laterality quotient (LQ) scores on the Edinburgh Inventory (Oldfield, 1971). There was no difference between responders and non-responders in pre-treatment ratings on the HAM-D17 or BDI-II, and responders showed significantly lower post-treatment depression ratings than non-responders.

(footnote continued)

CBT response in 3 of these 4 cases. Also, predictions of CBT response remained essentially the same when only the 16 patients having a MDD were included. Of the patients having a MDD, 7 of 8 with PA scores above the mean for HC responded to CBT, whereas only 2 of 8 with PA scores below the mean for HC responded to treatment. This is a positive predictive value of 88% and a negative predictive value of 75%, which agrees with the results for the total sample, including patients having dysthymia.

2 The PA scores for the 4 patients who received medication during the course of CBT were well within the range of scores for the other patients and correctly predicted their response to CBT. The 2 medicated patients who responded had PA scores above the mean for HC (14.0 and 29.9), while the 2 medicated non-responders were below the mean for HC (8.0 and 9.2). Inclusion of these medicated patients did not adversely affect the findings of the study.

1 We have not found a difference in DFWT performance between patients having a MDD and those having “pure dysthymia” (Bruder et al., 2012). In agreement with the overall results, the 2 dystymic patients who were responders had a larger left hemisphere advantage (PA = 8.0 and 28.8) than the 2 dystymic patients who were non-responders (PA = 1.7 and −0.8), with correct prediction of
2.4. Procedures

Before beginning CBT, all 20 patients received the DFWT, 18 received the NART and 17 received the other neuropsychological tests.

The DFWT consists of 15 different single-syllable word pairs in which each member of every pair differs from the other only in the initial consonant (e.g., coat and later). All words begin with one of six stop consonants (b, d, p, t, g, and k) and are natural speech spoken by a male voice. When word pairs are presented dichotically, the members fuse into a single percept. Participants indicate the word they heard by marking a line through it on an answer sheet that has four possible responses, both members of the dichotic pair and two other words differing from the dichotic stimuli only in the initial consonant. Following practice trials, each participant received four 30-item blocks for a total of 120 trials. Orientation of the X. Following the response, the X disappears and then reappears in one of the squares, and the subject responds by pressing one of four buttons to indicate the position of the X. The dependent measure is median reaction time on correct trials. A computerized Stroop test used single item pressing the correct buttons as the task progresses. The dependent measure is the same or different square. The participant is instructed to identify display by "X" appearing in one of the squares, and the subject responds by pressing one of four buttons to indicate the position of the X. Following the response, the X disappears and then reappears in the same or different square. The participant is instructed to "catch the X" by pressing the correct buttons as the task progresses. The dependent measure is median reaction time on correct trials. A computerized Stroop test used single item presentation and a button press response (Keilp et al., 2008). Training and supervision of the research assistants who administered the tests were done by one of the authors (JGK), a Clinical Psychologist and neuropsychologist by training. The neuropsychological tests selected were previously used in our studies in depressed patients (Taylor et al., 2006; Corbly et al., 2008; Keilp et al., 2008; Bruder et al., 2014). Training and supervision of the research assistants who administered the tests were done by one of the authors (JGK), a Clinical Psychologist and neuropsychologist by training. The non-computerized tests included: (1) word fluency test using a written version of the Controlled Oral Word Association Test (Benton et al., 1983), in which participants had 1 min to write down as many words as possible that began with each of three letters (FAS). It was used instead of the usual oral version so as to match the test used in our study of predictors of response to antidepressants (Bruder et al., 2014), and is likely to reflect writing speed as well as word fluency; (2) NART, which provided a premorbid IQ estimate (Bright et al., 2002). Two computerized tests were presented on a Macintosh laptop with PsyScope programming language (Keilp et al., 2008). A 4-choice reaction time task was adapted from Thone et al. (1985). The participant sees a black screen with 4 white squares arranged in a windowspace pattern. A red "X" appears in one of the squares, and the subject responds by pressing one of four buttons to indicate the position of the X. Following the response, the X disappears and then reappears in the same or different square. The participant is instructed to "catch the X" by pressing the correct buttons as the task progresses. The dependent measure is median reaction time on correct trials. A computerized Stroop test used single item presentation and a button press response (Keilp et al., 2008). Three conditions were given in a blocked fashion in a fixed order: (1) Word Condition—identify the color names in black letters; (2) Color Condition—identify color of a string of four X's displayed in one of three colors; and (3) Color/Word Condition—indirectly display color of the stimulus containing an incongruous color name, ignoring the text. Auditory feedback was provided for all responses: correct (beep) and incorrect (Buzz). Word and Color blocks included 45 stimulus trials and Word/Color blocks included 90 trials. Median reaction time on correct trials is the dependent measure.

### Table 1

<table>
<thead>
<tr>
<th>Variable</th>
<th>Responders</th>
<th>Non-responders</th>
<th>Statistics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gender (men/women)</td>
<td>5/6</td>
<td>6/3</td>
<td>$\chi^2 (1) = 0.90$</td>
</tr>
<tr>
<td>Age (in years)</td>
<td>39.9</td>
<td>38.4</td>
<td>t (18) = 0.22</td>
</tr>
<tr>
<td>S.D.</td>
<td>15.2</td>
<td>13.8</td>
<td></td>
</tr>
<tr>
<td>Education (in years)</td>
<td>15.6</td>
<td>16.2</td>
<td>t (18) = 0.52</td>
</tr>
<tr>
<td>S.D.</td>
<td>2.1</td>
<td>3.6</td>
<td></td>
</tr>
<tr>
<td>Handedness LQ</td>
<td>84.4</td>
<td>78.3</td>
<td>t (18) = 0.68</td>
</tr>
<tr>
<td>S.D.</td>
<td>15.8</td>
<td>24.2</td>
<td></td>
</tr>
<tr>
<td>Pre-treatment HAM-D$_{17}$</td>
<td>16.3</td>
<td>14.0</td>
<td>t (18) = 0.94</td>
</tr>
<tr>
<td>S.D.</td>
<td>5.0</td>
<td>5.9</td>
<td></td>
</tr>
<tr>
<td>Post-treatment HAM-D$_{17}$</td>
<td>4.0</td>
<td>12.9</td>
<td>t (18) = -4.17 $^*$</td>
</tr>
<tr>
<td>S.D.</td>
<td>2.9</td>
<td>6.3</td>
<td></td>
</tr>
<tr>
<td>Pre-treatment BDI</td>
<td>31.1</td>
<td>33.8</td>
<td>t (18) = 0.61</td>
</tr>
<tr>
<td>S.D.</td>
<td>8.6</td>
<td>11.2</td>
<td></td>
</tr>
<tr>
<td>Post-treatment BDI</td>
<td>7.3</td>
<td>20.8</td>
<td>t (17) = 3.09 $^*$</td>
</tr>
<tr>
<td>S.D.</td>
<td>5.8</td>
<td>12.4</td>
<td></td>
</tr>
</tbody>
</table>

Note: LQ—Laterality Quotient on Edinburgh Inventory; HAM-D$_{17}$—Hamilton Depression Scale; BDI—Beck Depression Inventory-II. *Responders: n = 10; Non-responders: n = 9. $^*$ p < 0.01.

2.5. Statistical analyses

The number of correct responses on the DFWT was computed for the right and left ear presentations. These scores were used to compute a standard measure of perceptual asymmetry (PA), where PA = 100 (Right Correct-Left Correct)/(Right Correct + Left Correct). Differences between CBT responders and non-responders in PA on DFWT and in accuracy or RT scores on the neuropsychological tests were examined with independent t-tests. No analyses of accuracy scores on the DFWT were performed because the members of dichotic pairs fuse into a single percept and subjects are essentially 100% correct for reporting the word heard in either ear. The potential value of PA scores on the DFWT for predicting treatment response to CBT was examined using a $\chi^2$ test to compare the response rate of patients with PA scores above versus below the mean score for healthy controls, and sensitivity, specificity, positive predictive value, and negative predictive value were also computed. Pearson's correlation and Spearman's rho examined the association between pre-treatment PA scores for patients on DFWT and percent change in their HAM-D$_{17}$ ratings after CBT. A logistic regression analysis was also performed to examine the significance of continuous PA scores for predicting response to CBT (responder/non-responder) when controlling for patient age, education, and handedness LQ.

### 3. Results

#### 3.1. DFWT

The left hemisphere advantage for CBT responders (Mean = 16.9, S.D. = 11.9) was significantly greater than for non-responders (Mean = 5.9, S.D. = 10.3; t (18) = 2.17, p < 0.05), which corresponds to a large effect size (Cohen's d = 0.99). This is also evident in Fig. 1, which shows the left hemisphere advantage for individual responders and non-responders plotted as a function of the percent change in HAM-D$_{17}$ ratings from before to after CBT. Larger left hemisphere advantage before treatment was associated with greater improvement in HAM-D$_{17}$ depression ratings following CBT (Pearson's r = 0.46, Spearman's rho = 0.47, p < 0.05). This correlation was maintained after excluding the patient in Fig. 1 who did extremely poorly following treatment (r = 0.45, p = 0.05).

The dashed line labeled HC in Fig. 1 gives the mean left hemisphere advantage for 74 right-handed healthy controls (32 men and 42 women, with mean age = 32.0, S.D. = 10.3 and education = 15.6, S.D. = 2.1). As in our prior studies, the normative PA value for controls was used as a cutoff for predicting response to CBT, with those having PA > HC predicted to be responders and those having PA < HC predicted to be non-responders. Patients with left hemisphere advantage > HC had 89% (8 out of 9) response rate to CBT, whereas those < HC had only a 27% (3 out of 11) response rate; $\chi^2 (1, n = 20) = 7.59, p < 0.01$. This corresponds to a positive predictive value of 89% and negative predictive value of 73%. Also, the percentage of responders with scores > HC was 73% (sensitivity) and the percentage of non-responders with scores < HC was 89% (specificity).

A logistic regression analysis was also used to examine the value of continuous PA scores on the DFWT for predicting treatment response, when controlling for patient age, education, and handedness LQ. A model including PA and handedness LQ scores significantly improved prediction of treatment response over a constant, $\chi^2 (1, n = 20) = 7.26, p < 0.01$, while age and education did not enter into the equation. PA was a significant predictor of treatment response, Wald test (1, n = 20) = 4.43, p < 0.05, and handedness LQ approached a conventional level of significance.

$^3$ Although some reduction of predictive accuracy may occur when using logistic regression with small samples (Cohen et al., 2003), the results of this analysis are in accord with those seen for the $\chi^2$ and correlation analyses.

$^4$ The controls are comparable in gender, age and education to the patients. There was no difference in left hemisphere advantage on the DFWT between male (M = 10.7, S.D. = 13.6) and female (M = 13.5, S.D. = 15.9) controls, t (72) = 0.79, ns. Also, their PA scores on DFWT were not significantly correlated with age (r = -0.01, ns) or education (r = 0.08, ns).

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Wald test \( (1, n=20) = 3.53, p=0.06 \). Using this logistic model, 9 of 11 CBT responders were correctly predicted to be responders (sensitivity of 82%) and 8 of 9 non-responders were predicted to be non-responders (specificity of 89%). Overall, 85% of patients were correctly classified by the logistic regression equation.

3.2. Neuropsychological tests

Table 2 gives the pretreatment performance of patients who received neuropsychological tests. There was no difference between responders and non-responders on the NART estimate of premorbid IQ or on the other verbal tests, i.e., word fluency or Stroop word reading RT. Nor was there a difference in choice RT or Stroop interference effect between responders and non-responders.

4. Discussion

This study confirmed our finding of greater left hemisphere advantage for verbal dichotic listening in depressed patients who respond to CBT when compared to non-responders (Bruder et al., 1997). In both this and our prior study, CBT responders had more than twice the left hemisphere advantage than non-responders. Pretreatment PA scores of individuals on the DFWT were predictive of improvement in HAM-D17 depression ratings following CBT. Patients with nominally above average left hemisphere advantage had 89% response rate to CBT, whereas those with nominally below average left hemisphere advantage had only 27% response rate. This is comparable to our prior findings for a dichotic syllable test. The DFWT has the added advantage of yielding valid estimates of hemispheric lateralization for speech with high test–retest reliability (Wexler and Halwes, 1983; Zatorre, 1989; Fernandes and Smith, 2000).

Reappraisal of negative thoughts is a central component of CBT, which involves verbal cognitive processes for which the left hemisphere is dominant in most right-handed individuals. A study measuring fMRI in healthy adults during different emotional regulation tasks found that reappraisal activated left ventrolateral prefrontal cortex (Price et al., 2013), which is consistent with evidence of left prefrontal and temporal activation during reappraisal of negative emotions (Ochsner et al., 2004; Silvers et al., 2015). The extent to which individual differences in cortical activation during reappraisal are related to clinical response to CBT for depression has, however, received little attention. One study (Deldin and Chiu, 2005) measured resting EEG in depressed patients and healthy controls who were administered a brief, one-time intervention to cognitive restructuring. Individuals who reported an increase in happiness scores following this intervention (so called “responders”) showed greater overall cortical activity (less alpha) than non-responders, with depressed “responders” having relatively greater right than left frontal activity. Their findings do not necessarily conflict with our findings. Although their cognitive restructuring task was based on the techniques of CBT, it is not clear that findings for this brief intervention generalize to results for standard CBT, and treatment response was based on improvement in happiness scores and not depressive symptoms. Also, our finding of greater left hemisphere advantage for dichotic words in responders to CBT is likely related to processes in more posterior temporoparietal regions, where right ear advantage for dichotic words or syllables has been linked to relatively greater activity (less resting EEG alpha) over the left hemisphere (Davidson and Hugdahl, 1996; Bruder et al., 2001), and responders to a SSRI showed an alpha asymmetry indicative of relatively greater left hemisphere activity (Bruder et al., 2008). Note that Davidson and Hugdahl (1996) found that larger right ear advantage for dichotic syllables was also associated with less activity (greater alpha) over left than right prefrontal region. Thus, the greater right ear advantage in CBT responders could reflect both greater left temporoparietal and right prefrontal activation.

Individuals with greater left hemisphere language lateralization may be better able to recruit cortical regions critical for success in CBT. Although sample size was small and neuropsychological tests were limited, we found no difference in performance of CBT responders and non-responders on tests assessing verbal skills, i.e., word fluency and word reading RT, or on the NART estimate of premorbid IQ. This provides no support for the hypothesis that general verbal skill or cognitive ability predicts therapeutic response to CBT. Rather, our findings support the hypothesis that individuals with greater left hemisphere language dominance have more ability to access frontal and temporal regions involved in cognitive reappraisal. The lack of a difference between CBT responders and non-responders on measures of psychomotor speed (e.g., word fluency and choice RT), which have

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Table 2 Performance in neurocognitive tests.

<table>
<thead>
<tr>
<th>Test</th>
<th>Responders</th>
<th>Non-responders</th>
<th>Statistics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Word fluency (Total correct)</td>
<td>n=8</td>
<td>n=9</td>
<td>( t (15) = 0.12 )</td>
</tr>
<tr>
<td>M</td>
<td>36.2</td>
<td>35.7</td>
<td></td>
</tr>
<tr>
<td>S.D.</td>
<td>11.9</td>
<td></td>
<td></td>
</tr>
<tr>
<td>NART (IQ estimate)</td>
<td>n=9</td>
<td>n=9</td>
<td>( t (16) = 1.02 )</td>
</tr>
<tr>
<td>M</td>
<td>107.7</td>
<td>112.8</td>
<td></td>
</tr>
<tr>
<td>S.D.</td>
<td>9.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Choice RT (ms)</td>
<td>n=9</td>
<td>n=8</td>
<td>( t (15) = 0.85 )</td>
</tr>
<tr>
<td>M</td>
<td>553</td>
<td>504</td>
<td></td>
</tr>
<tr>
<td>S.D.</td>
<td>147</td>
<td>89</td>
<td></td>
</tr>
<tr>
<td>Stroop word RT (ms)</td>
<td>n=9</td>
<td>n=8</td>
<td>( t (15) = 0.83 )</td>
</tr>
<tr>
<td>M</td>
<td>624</td>
<td>585</td>
<td></td>
</tr>
<tr>
<td>S.D.</td>
<td>113</td>
<td>69</td>
<td></td>
</tr>
<tr>
<td>Stroop color RT (ms)</td>
<td>n=9</td>
<td>n=8</td>
<td>( t (15) = 0.86 )</td>
</tr>
<tr>
<td>M</td>
<td>633</td>
<td>598</td>
<td></td>
</tr>
<tr>
<td>S.D.</td>
<td>79</td>
<td>90</td>
<td></td>
</tr>
<tr>
<td>Stroop interference (ms)</td>
<td>n=9</td>
<td>n=8</td>
<td>( t (15) = 0.68 )</td>
</tr>
<tr>
<td>M</td>
<td>194</td>
<td>157</td>
<td></td>
</tr>
<tr>
<td>S.D.</td>
<td>124</td>
<td>97</td>
<td></td>
</tr>
</tbody>
</table>

Note: NART = National Adult Reading Test; RT = Reaction Time.
been reported to differentiate responders and non-responders to antidepressants (Taylor et al., 2006; Gorlyn et al., 2008; Bruder et al., 2014), suggests some degree of specificity of these tests for predicting response to antidepressants.

We have also found enhanced left hemisphere advantage on the DFWT in responders to the antidepressants fluoxetine (Bruder et al., 1996) or bupropion (Bruder et al., 2007), which suggests that it would not provide differential prediction of response to CBT and antidepressants. The dichotic syllable test used in our prior CBT study (Bruder et al., 1997) differs from the DFWT, in that, the subject reports the syllable heard in each ear, which provides a separate measure of accuracy for each side. The larger left hemisphere advantage for dichotic syllables in CBT responders compared to non-responders was due to better right ear accuracy in responders. Given the contralateral advantage of projections from ear to auditory cortex, this is indicative of greater left hemisphere dominance for language in responders. In a study using the same dichotic listening tasks (Bruder et al., 1990), patients who responded to a tricyclic antidepressant also tended to have larger left hemisphere advantage for syllables, but this was due to their poorer left ear accuracy when compared to non-responders. Moreover, tricyclic responders differed from non-responders in failing to show a left ear advantage for dichotic complex tones, which is consistent with right hemisphere dysfunction. In contrast, there was no difference between CBT responders and non-responders for dichotic complex tones (Bruder et al., 1996). This argues that the basis for the dichotic listening differences between responders and non-responders may be different for CBT and antidepressants.

The DFWT predicted response to CBT with a large effect size and with good sensitivity and specificity. The potential value of this test, as compared to neuroimaging, is that it can be administered by an assistant or technician with minimal training and equipment in an office or clinical setting at little expense. It would not, however, by itself be of value for differentiating between patients who are likely to respond to CBT as opposed to an antidepressant. It may be necessary to use a dichotic syllable test, which yields separate measures of right and left ear accuracy, to indicate whether the patient shows heightened right ear accuracy reflecting increased left hemisphere dominance or poorer left ear accuracy reflecting right hemisphere deficit.

One could ask whether the weak or absent left hemisphere advantage in CBT non-responders is irrevocably a bad prognostic sign or whether the underlying mechanism might be overcome by an intervention that enhances engagement of the left hemisphere. For instance, Triggs et al. (2010), in a randomized control trial of rTMS coupled with a social intervention for refractory depression, found that right cranial stimulation was the most effective treatment. Also, could a behavioral therapy that engages the right hemisphere be more effective in depressed patients without a left hemisphere advantage in CBT non-responders?

This study has several limitations. First, sample size is small and future studies would benefit by using a larger number of depressed patients. The findings do, however, replicate those of our prior study (Bruder et al., 1997), which had larger numbers of CBT responders and non-responders, and the relatively high sensitivity and specificity in both studies indicate good prediction of response for individual patients. Second, although a standard CBT manual was used (Emery, 2000), it was administered by graduate student therapists. They were, however, well trained and closely supervised by an experienced CBT therapist. Moreover, results are mixed in regard to therapist's experience as a predictor of psychotherapy outcome (Christensen and Jacobson, 1994; Blatt et al., 1996; Tallman and Bohart, 1999), and the obtained 55% CBT response rate is typical of that seen in the field (DeRubeis et al., 2005; Siegle et al., 2011). This may also indicate that our findings are not limited to highly trained clinicians in research settings and may generalize to clinical settings. Third, another possible limitation is our use of the PA norm for healthy controls as a cutoff for predicting CBT response. About half of patients would be expected to fall above or below the mean PA for controls. This cutoff value was not, however, meant for differentiating depressed patients versus controls. It was chosen a priori based on its success in predicting treatment response in our prior research and the norm is not an arbitrary cutoff but rather characterizes patients as to whether their language lateralization is above or below normal. Also, a logistic regression analysis including continuous PA and handedness LQ scores yielded predictions of CBT response comparable to those seen using the PA norm as a cutoff. Lastly, neuroimaging or electrophysiological methods might provide more direct measures of language lateralization of the brain. The DFWT has, however, been found to provide a valid measure of language lateralization, and could be administered in clinical settings to provide a brief and inexpensive predictor of CBT response.

Conflict of interest

The authors have no conflict of interest.

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References


