

Neuronal generator patterns of olfactory event-related brain potentials in schizophrenia

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Abstract

To better characterize neurophysiologic processes underlying olfactory dysfunction in schizophrenia, nose-referenced 30-channel electroencephalogram was recorded from 32 patients and 35 healthy adults (18 and 18 male) during detection of hydrogen sulfide (constant-flow olfactometer, 200 ms unirhinal exposure). Event-related potentials (ERPs) were transformed to reference-free current source density (CSD) waveforms and analyzed by unrestricted Varimax-PCA. Participants indicated when they perceived a high (10 ppm) or low (50% dilution) odor concentration. Patients and controls did not differ in detection of high (23% misses) and low (43%) intensities and also had similar olfactory ERP waveforms. CSDs showed a greater bilateral frontotemporal N1 sink (305 ms) and mid-parietal P2 source (630 ms) for high than low intensities. N1 sink and P2 source were markedly reduced in patients for high intensity stimuli, providing further neurophysiological evidence of olfactory dysfunction in schizophrenia.

Descriptors: Olfaction, Schizophrenia, Event-related potential, ERP, Current source density, CSD, Principal components analysis, PCA, Surface Laplacian

The study of olfactory event-related potentials (OERP) requires a rapid onset of odor concentration (less than 50 ms rise time to 70% of maximum concentration; cf. Evans, Kobal, Lorig, & Prah, 1993; Rombaux, Mouraux, Bertrand, Guerit, & Hummel, 2006) and recording of olfactory responses that avoid concomitant trigeminal nerve stimulation (Lorig, 2000) and, depending on the research objective, potential confounds associated with active inhalation (Sobel et al., 1998; however, see Lorig, Matia, Peszka, & Bryant, 1996, for a balanced discussion on the relative merits of active vs. passive breathing techniques). This became possible through the development of an olfactometer capable of producing a rapid pulse of odor in a constant air stream (Kobal, 1982, 2003; cf. Rombaux et al., 2006). Using an olfactometer,

researchers have begun to advance the knowledge in basic mechanisms of olfactory perception (Lorig, 2000). The clinical significance of OERPs is evident in that stimulation with vanillin or hydrogen sulfide (H₂S) yields no OERP components in anosmic patients (Kobal & Hummel, 1998), and OERPs are closely associated with odor thresholds, odor discrimination, and odor identification (Lötsch & Hummel, 2006). Although there has been some disagreement about the naming of peaks in the OERP waveforms, when using a combined lateral-inferior electroencephalogram (EEG) recording reference (i.e., linked ears or mastoids), healthy adults typically show as the first distinctive deflection a negative peak at vertex between 300 and 500 ms, labeled N1 (e.g., Rombaux et al., 2006). This is followed by one or more positive deflections (e.g., P2, P3) peaking between 500 and 1500 ms (e.g., Pause, Sojka, Krauel, & Ferstl, 1996; Turetsky et al., 2003). Although significantly delayed compared to other modalities (approximate N1 peak latencies range between 100 and 200 ms for auditory or visual stimuli) because of a longer transduction time at the olfactory receptor level (e.g., Rombaux et al., 2006), the N1 component may have similar modality-specific properties (Pause & Krauel, 2000; Olofsson, Ericsson, & Nordin, 2008). The olfactory pathway, however, unlike all other sensory systems, does not include a thalamic relay, and it is unknown to what extent different anatomical structures and cortical regions within the olfactory system (e.g., olfactory bulb, orbital prefrontal cortex; cf. Martzke, Kopala, & Good, 1997)

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contribute to early olfactory components. Nevertheless, both N1 and P2 vary with external odor characteristics; for example, their amplitudes increase with greater odor concentration (e.g., Tateyama, Hummel, Roscher, Post, & Kobal, 1998; Turetsky et al., 2003). In contrast, the P3 component, as in other stimulus modalities, appears to change as a function of subjective significance, stimulus probability, and emotional valence of odors (Pause et al., 1996, 2003; see also Laudien, Kuster, Sojka, Ferstl, & Pause, 2006). However, a direct comparison of chemosensory, auditory, and visual N1, P2, and P3 peak deflections at midline sites (Fz, Cz, Pz) revealed a clustering of chemosensory P2 and P3, which were, in turn, clustered with auditory and visual P3, suggesting that olfactory P2 may have functional properties typically attributed to P3 in other sensory systems (Olofsson et al., 2008). OERP components in healthy adults vary with age and gender, with younger adults or women having generally greater amplitude and shorter latency when compared to older adults or men (e.g., Covington, Geisler, Polich, & Murphy, 1999; Morgan, Geisler, Covington, Polich, & Murphy, 1999; Murphy et al., 2000; Olofsson & Nordin, 2004; Stuck et al., 2006).

Very little is known about the current generators underlying the olfactory ERP components. Kettenmann, Hummel, Stefan, and Koba (1997), using magnetoencephalographic rather than EEG recordings, localized equivalent current dipoles or sources corresponding to P1, N1, and P2 components between the superior temporal plane, the parainsular cortex, central parts of the insular, and the superior temporal sulcus. Furthermore, Daniels et al. (2001) found that patients with right-sided lesions, primarily affecting the temporal or parietal lobe, showed deficits in odor discrimination and decreased amplitudes of P2 and P3 at parietal scalp locations, independent of stimulation side (left or right nostril).

Olfactory Deficits in Schizophrenia

Evidence for olfactory dysfunction in schizophrenia has been reported in multiple studies using psychophysical measures of odor identification and detection thresholds. Studies have consistently found reduced ability to name or identify odors in schizophrenic patients compared to healthy controls, typically yielding large effect sizes (for a review, see Moberg et al., 1999). Findings for odor detection thresholds have been more mixed, with some studies reporting poorer odor thresholds in schizophrenia (Moberg et al., 1999) and others reporting normal or even superior olfactory acuity (Martzke et al., 1997; Moberg et al., 2006). Measuring unirhinal thresholds to n-butanol in 17 unmedicated patients and 17 well-matched healthy controls, Purdon and Flor-Henry (2000) found asymmetric thresholds in schizophrenia. Whereas controls revealed no nostril differences, patients had a greater deficit for the left compared to the right nostril, implicating a primarily left-lateralized impairment, given the predominantly ipsilateral afferent projections from the olfactory bulb to piriform cortex within the medial temporal lobe (e.g., Martzke et al., 1997; Moberg et al., 1999). Interestingly, this threshold asymmetry was reversed in another 10 patients after they received neuroleptic treatment, mostly because of left nostril improvements, which suggested that the effects of antipsychotic medication may differentially affect the two hemispheres (Purdon & Flor-Henry, 2000).

Few studies, however, have been directed at the neurophysiologic processes underlying olfactory dysfunction in schizophrenia. In the first electrophysiologic study, Turetsky et al.

(2003) measured OERPs in 21 patients with schizophrenia and 20 healthy controls to three concentrations of H₂S. Patients and controls did not differ significantly in ratings of the perceived intensity of the odors, but, nonetheless, patients had reduced N1 and P2 amplitudes, with the largest difference for the strongest odor intensity. Turetsky, Kohler, Gur, and Moberg (2008) also found similar reductions of N1 and P2 amplitude in first degree relatives of patients with schizophrenia, suggesting that this represents a vulnerability marker for this disorder. Using odorants of different hedonic value (i.e., rose-like phenethyl alcohol and rotten butter-like isobutyraldehyde), Pause, Hellmann, Goder, Aldenhoff, and Ferstl (2008) reported shorter peak latencies across several ERP components in nine schizophrenic compared to nine depressed and nine healthy men, but these effects were evidently most robust for N1 during the presentation of negative odors. Unfortunately, no ERP waveforms were included in this report, making it difficult to evaluate the exact meaning of these findings or to relate them to other studies.

Methodological Issues in Olfactory ERP Research

Following early recommendations (Evans et al., 1993), most OERP studies have relied on peak and latency measures of “prominent” deflections in selected OERP waveforms, mostly at vertex (Cz) or neighboring midline (Fz, Pz) or lateral sites (C3/4) and usually referenced to linked ear lobes or linked mastoids (e.g., Krüger, Frasnelli, Bräunig, & Hummel, 2006; Lundström, Seven, Olsson, Schaal, & Hummel, 2006; Murphy et al., 2000; Pause et al., 2003). The use of multichannel EEG montages has largely been limited to mapping ERP or CSD¹ topographies (Laudien et al., 2006, 2008) or showing LORETA source localizations (Lorig, Rigdon, & Poor, 2006). However, whereas inverse source localization algorithms, such as LORETA or BESA, have the potential for data simplification and clarification, these approaches provide genuinely model-dependent solutions that need to be cautiously considered, pending independent validation.

Statistical analyses have relied on ERP component measures employing a “region-of-interest” approach, in which the topographic ERP signal is reduced to a few spatially smeared sites, and is also subject to experimenter bias in the selection or grouping of electrodes (Kayser & Tenke, 2005). Although the need to systematically identify the olfactory ERP component structure (i.e., how many major components with what temporal, spatial, and functional characteristics) has long been recognized (Lorig, 2000), only preliminary efforts have been made to date. ERP components are classically conceived as an electrophysiologic correlate of the underlying neuronal generators associated with information processes (cf. Kayser & Tenke, 2003). This conceptual definition implies that an ERP component is characterized by (1) temporal (latency), (2) spatial (scalp topography), and (3) functional (task or condition) specificity (e.g., Donchin et al., 1977; Fabiani, Gratton, & Coles, 2000). However, the identification and measurements of “obvious” peaks and troughs in the ERP waveforms as meaningful entities can be misleading. Specifying peaks in noisy waveforms (a problem not resolved but rather aggravated by using an automated computer algorithm)

¹There appears to be considerable confusion about the meaning of sources and sinks and their relationship to ERP waveforms. CSD estimates represent the current flow entering (sinks) and leaving (sources) the scalp from the underlying brain tissue and are therefore equally important in characterizing neuronal generator activity. As such, these estimates must be fully compatible with the ERP topography from which they are derived in order to be of empirical or descriptive value.

and determining area integration limits for deflections that invert and shift across scalp locations are subject to experimenter bias and raise questions of statistical independence, which will crucially affect their statistical analysis. Moreover, these ERP component measures depend directly on the recording reference, because the timing, topography, and amplitude of these ERP deflections will change with any other reference (e.g., Dien, 1998; Kayser, Fong, Tenke, & Bruder, 2003), thereby affecting component interpretation (e.g., polarity, topography, generator). Thus, the definition and measurement of appropriate ERP components and the dependency of surface potentials on a reference location (e.g., linked ears or mastoids, nose, average) are two problems that have plagued ERP research (e.g., Kayser & Tenke, 2003, 2005; Nunez & Srinivasan, 2006; Tenke & Kayser, 2005).

We have proposed that these limitations can be overcome without sacrificing information by combining current source density (CSD; surface Laplacian) and temporal principal components analysis (PCA) to identify relevant, data-driven components (Kayser & Tenke, 2006a, 2006b; Kayser et al., 2006, 2010; Kayser, Tenke, Gates, & Bruder, 2007; Kayser, Tenke, Gil, & Bruder, 2009; Tenke et al., 2008; Tenke, Kayser, Stewart, & Bruder, 2010). CSD provides a representation of current generators that underlie ERPs and represent the magnitude of radial current flow entering (sink) and leaving (source) the scalp (e.g., Nunez & Srinivasan, 2006). CSD analysis is a reference-free technique (any EEG recording reference scheme will yield the same, unique CSD transform) that provides sharper topographies compared to those of scalp potentials while also reducing redundant contributions due to volume conduction (e.g., Tenke & Kayser, 2005). Often-raised concerns include the requirement of a high-density EEG montage for reliably computing CSDs, as well as their presumed insensitivity to deep sources. We have empirically addressed these concerns, demonstrating that no information is lost with the CSD transform when directly compared to the original ERPs, and deep or distributed sources, such as P3, are adequately and sufficiently represented (Kayser & Tenke, 2006a). A low-density EEG montage may be as efficient as a dense electrode montage in summarizing CSD activity for group data, because group averages effectively impose a spatial low-pass filter to the data (Kayser & Tenke, 2006b). In the specific context of olfactory ERPs, for which generators are presumably deep (i.e., with origins in olfactory, gustatory, or limbic structures), the corresponding fields and CSDs will be more diffuse at scalp, rendering a low-resolution surface Laplacian an advantage, rather than a liability. Thus, these conventional concerns have been overstated, and CSDs have not only been proven to be useful but may constitute an optimal analytic approach for many practical ERP applications. Compared to more complex EEG source localization methods (Michel et al., 2004), relying on surface Laplacian estimates as an analytic strategy is more conservative because it completely avoids additional (and unproven) biophysical assumptions (tissue conductivity and geometry, laminar orientation, number and independence of generators).

Temporal PCA is one of the most frequently used multivariate decomposition approaches for ERP data and has been repeatedly shown to be superior to more traditional ERP measures, for instance, revealing more robust *F* statistics and better reliabilities (i.e., internal consistency and temporal stability) when directly compared with integrated time windows or baseline-to-peak measures (e.g., Beauducel, Debener, Brocke, & Kayser, 2000; Kayser et al., 1997; Kayser, Tenke, & Bruder, 1998). Often-cited

limitations, such as misallocation of variance because of latency jitter, are not restricted to the use of temporal PCA but also affect traditional component measures and more severely (e.g., Beauducel & Debener, 2003; Chapman & McCrary, 1995; Donchin & Heffley, 1978; Wood & McCarthy, 1984). With careful consideration, temporal PCA can provide a concise and unbiased summary of ERP/CSD activity (Kayser & Tenke, 2003, 2006a) associated with generator patterns underlying stimulus processing, even for slow and long-lasting components (e.g., Kayser et al., 2006), and could therefore provide an answer to the question of relative statistical independence between putative olfactory components (Lorig, 2000). Moreover, because the extracted CSD factors are independent of the recording reference, they have an unambiguous component polarity and topography.

A primary goal of this study was therefore to employ this new CSD-PCA approach for an improved characterization of OERPs (i.e., N1, P2) in schizophrenia patients and healthy adults. Following the findings of Turetsky et al. (2003), it was predicted that schizophrenia patients would show reduced N1 and P2 amplitudes (i.e., their CSD equivalents) when compared to healthy adults, and these OERP differences will be most evident at higher concentrations of H₂S.

Methods

Participants

As part of a study of olfaction and social function in schizophrenia, 35 healthy adults (ages 18–61 years, $M \pm SD = 31.7 \pm 12.0$; 18 men; 6 smokers) without current or past psychopathology, neurological illness, or substance abuse (Nurnberger et al., 1994) were recruited for payment (US\$10/h) from the New York metropolitan area. These controls were compared to 17 inpatients and 15 outpatients at New York State Psychiatric Institute (ages 18–54 years, $M \pm SD = 33.3 \pm 9.6$; 18 men; 5 smokers) meeting DSM-IV (American Psychiatric Association, 1994) criteria for schizophrenia ($n = 26$; 15 paranoid, 9 undifferentiated, 1 catatonic, 1 residual) or schizoaffective disorder ($n = 6$; 3 bipolar type, 3 depressive type). Diagnoses were based on clinical interviews by psychiatrists and trained psychologists and a semistructured interview (Nurnberger et al., 1994) including items from commonly used instruments (e.g., Andreasen 1983, 1984). Symptom ratings were obtained using the Positive and Negative Syndrome Scale (PANSS; Kay, Opler, & Fishbein, 1992). The mean total Brief Psychiatric Rating Scale (BPRS) score available for 31 patients was 28.1 ± 6.6 , with about equal scores for positive (10.8 ± 4.9) and negative (11.7 ± 3.9) symptoms (general 23.7 ± 5.8), indicating that patients were mildly disturbed. Mean age of onset available was 23.7 ± 6.3 years with an average illness duration of 9.8 ± 8.9 years. Most patients ($n = 29$) were treated with antipsychotic medications (9 aripiprazole, 7 risperidone, 5 olanzapine, 4 ziprasidone, 2 perphenazine, 1 clozapine, 1 quetiapine; chlorpromazine equivalents 25–800 mg/day; Woods, 2003).

All participants were right-handed (Oldfield, 1971; laterality quotient, controls vs. patients, 73.6 ± 29.2 vs. 84.0 ± 18.3). Patients tended to have less education than control participants, but this difference was of only marginal significance (14.2 ± 2.7 vs. 15.5 ± 1.7 years), $F(1,63) = 3.77$, $p = .06$. Participants were instructed to refrain from smoking on the day of test. OERP recording sessions were scheduled between 9 a.m. and 5 p.m. and lasted about 1.5 h. Time of testing did not differ between groups, $F(1,63) < 1.0$, n.s., thereby controlling for putative circadian in-

fluences on OERP amplitudes (Nordin, Lötsch, Murphy, Hummel, & Kobal, 2003). The experimental protocol had been approved by the institutional review board and was undertaken with the understanding and written consent of each participant.

Stimuli and Procedure

Participants were seated in an IAC sound-attenuated booth using a chin and forehead rest, with a video camera monitoring participants' compliance and behavior. While focusing on a fixation cross and breathing normally through the nose,² H₂S stimuli (10 ppm, Scott Speciality Gases, Plumsteadville, PA) at high (undiluted) and low (diluted to 50%) concentrations were delivered to the left or right nostril by a constant-flow olfactometer (OM2s, Heinrich Burghart GmbH, Wedel, Germany) through a Teflon tube inserted approximately 1 cm into the naris. Stimulus duration was 200 ms (not more than 50 ms rise time according to manufacturer specification). For any given session, the air stream at the exit of the olfactometer had a constant flow rate (about 8 l/min), temperature (the measured range was 38°–39°C at the olfactometers head to approximate 37°C body temperature in the nasal cavity), and relative humidity (above 80%). Odors were presented in four blocks of 24 trials each, with a variable inter-stimulus interval (15–25 s). White noise of approximately 75 dB SPL was presented binaurally via Telephonics TDH-49P earphones to preclude hearing the switching valves. Participants responded as to whether they perceived a low or high intensity odor by raising their left or right hand, which was visually monitored and recorded by the experimenter. Therefore, the present paradigm consisted of an active odor intensity detection task, requiring conscious processing of and responding to perceived hydrogen sulfide stimuli. Because the time of odor stimulation was not cued, participants could fail to respond (miss). Nostril order and response hand assignment were counterbalanced across blocks and participants.

Data Recording and Artifact Procedures

All data recording and preprocessing closely followed the procedures detailed elsewhere (e.g., Kayser et al., 2007). Briefly, nose-referenced EEG (30 channels) and bipolar EOG activity were continuously recorded at 200 samples/s with a gain of 10k (5k horizontal, 2k vertical EOG) within 0.1–30 Hz (–6 dB/octave). Volume-conducted blink artifacts were removed from the raw EEG by spatial PCA. Recording epochs of 2000 ms (250 ms prestimulus baseline) were extracted off-line, tagged for A/D saturation, and low-pass filtered at 20 Hz (–24 dB/octave). A reference-free approach identified residual artifacts on a channel-by-channel and trial-by-trial basis (Kayser & Tenke, 2006d). A trial was rejected if it contained artifact in more than eight channels; otherwise, artifactual data were replaced by spherical spline

²Although OERP studies typically trained participants to perform velopharyngeal closure as an active breathing technique to prevent intranasal respiratory airflow and interference during odor presentation, these potential benefits may be offset by the dual-task demands, resulting in divided attention that may alter odor processing. Comparisons of different breathing conditions with rather small sample sizes yielded conflicting results as to whether and how OERP amplitudes are affected (Lorig et al., 1996; Pause, Krauel, Sojka, & Ferstl, 1999; Thesen & Murphy, 2001). Given the likelihood of differences between healthy adults and schizophrenia patients in compliance with and capability of performing the velopharyngeal closure technique and that its associated systematic confounds (vigilance, attention) are more likely to affect odor detection and OERPs than the uncontrolled nasal air flow (cf. Laudien, Wencker, Ferstl, & Pause, 2008; Mainland & Sobel, 2006), a natural, spontaneous breathing condition seemed to be the preferred choice.

interpolation (Perrin, Pernier, Bertrand, & Echallier, 1989) from artifact-free channels. These procedures for artifact detection and reduction were originally developed to optimize the signal-to-noise ratio in problematic ERP recordings, such as those stemming from artifact-prone psychiatric samples, but these routines also help in reducing the problem of latency jitter in olfactory ERPs (Lorig, 2000).

Excluding trials on which the participant “missed” the odor, and disregarding the participant's high versus low intensity response, separate olfactory ERPs for high and low odor intensity were averaged from correctly detected, artifact-free trials using the entire 2-s epoch. To obtain more stable waveforms, ERPs were pooled across nostrils because of their blocked presentation order, and preliminary analyses did not reveal any effects of interest; furthermore, previous research has suggested that side of odor stimulation is of subordinate importance for measuring OERPs (e.g., Olofsson et al., 2006; Stuck et al., 2006). The mean number of trials (\pm *SD*) used to compute OERP averages were 30.7 ± 8.4 and 23.1 ± 8.9 (high vs. low intensity, respectively) for healthy controls and 30.0 ± 8.0 and 23.6 ± 8.5 for patients. As expected, more trials entered into high than low intensity ERP averages, $F(1,63) = 45.3, p < .0001$, but there were no differences between patients and controls. Visual inspections of the individual ERP waveforms also confirmed an acceptable signal-to-noise ratio for each participant. ERP waveforms were screened for electrolyte bridges (Tenke & Kayser, 2001), low-pass filtered at 12.5 Hz (–24 dB/octave), and finally baseline corrected using the 100 ms preceding stimulus onset. ERPs were re-referenced to linked mastoids (TP9/10) for comparison to prior OERP studies using linked ear lobes or mastoids as reference.

CSD Transform, Temporal PCA, and Statistical Analyses

All OERP waveforms at each electrode were transformed into reference-free CSD estimates ($\mu\text{V}/\text{cm}^2$ units; 10 cm head radius; 50 iterations; $m = 4$; smoothing constant $\lambda = 10^{-5}$) using a spherical spline surface Laplacian (Perrin et al., 1989). To determine their common sources of variance, CSD waveforms were submitted to temporal PCA derived from the covariance matrix, followed by unrestricted Varimax rotation of the covariance loadings (Kayser & Tenke, 2003, 2006c). The input data matrix consisted of 401 variables (time interval –250 to 1750 ms) and 4,154 observations stemming from 67 participants, two intensities, and 31 electrode sites, including the nose.

Data from two meaningful, high-variance CSD factors corresponding to N1 and P2 were submitted to repeated measures analysis of variance (ANOVA) with group (patients, controls) and gender (male, female) as between-subjects factors and odor intensity (high, low) as a within-subjects factor. The ANOVA designs also included subsets of lateral, homologous recording sites over both hemispheres at which PCA factor scores were largest and most representative of the associated CSD components (cf. Kayser & Tenke, 2006a; Kayser et al., 2006), adding hemisphere and site as within-subjects factors to the design. However, because subsets were selected on the premise that they collectively represent sink or source activity targeted in these statistical analyses, site effects were of secondary interest and will not be reported.

It appears to be a fairly common, although incorrect, assumption that CSD methods necessarily identify equivalent current dipoles. Because multiple, overlapping generators with different geometries, time courses, and signal-to-noise ratios likely contribute to the ERP signal, it is not clear whether a

prominent sink–source pattern represents opposite poles of a single dipole or several dipoles with different orientations. This uncertainty is not resolved by inverse models that identify putative current dipoles to simplify these generators patterns. In the case of the auditory N1, which consists of bilateral medial-central sinks and inferior-temporal sources having corresponding time courses and spanning the Sylvian fissure, thereby matching the orientation of the well-known underlying generator (e.g., Kayser & Tenke, 2006a, 2006b; Kayser et al., 2007, 2009), the ventral source may be larger than the central sink and subject to greater EMG noise from the neck. Another example would be a midline closed-field generator as described for a novelty vertex source (Tenke et al., 2010), where bilateral dipole orientations yield local field cancellations. The point is that CSD does not provide a single dipole measure, nor does it require one. For its quantification, we are adopting a pragmatic approach by analyzing CSD activity at regions or sites associated with distinct sinks or sources.

For analyses of the behavioral data, percentages of missed responses were submitted to a similar repeated measures ANOVA without the electrode factors. Sources of interactions and main effects were explored with simple effects (BMDP-4V; Dixon, 1992). When appropriate, Greenhouse–Geisser epsilon correction was used to compensate for violations of sphericity (e.g., Keselman, 1998). A conventional significance level ($p < .05$) was applied for all effects.

Results

Behavioral Data

The mean percentages of H₂S stimuli that were missed ($\pm SD$) were 23.4 ± 17.5 and 44.9 ± 19.3 (high vs. low intensity, respectively) for healthy controls, and 22.5 ± 16.1 and 41.1 ± 20.2 for patients, yielding a highly significant main effect of odor intensity, $F(1,63) = 77.2$, $p < .0001$, but no effects involving group, all $F(1,63) < 1.0$, *n.s.*

Average ERP and CSD Waveforms

To the best of our knowledge, no complete ERP waveform topography for olfactory stimuli has yet been published, except for selected midline “topographies” (Fz, Cz, Pz), probably because of concerns about individual specificity (Lorig, 2000). By overlaying individual ERPs and CSDs, we established that the grand means accurately summarized temporal and spatial properties of the observed OERP components. Figure 1 compares the grand mean olfactory ERP and CSD component structure for all 67 participants at all 31 scalp locations (averaged across intensities).³ The OERP waveforms (solid gray lines) showed a typical negative–positive component sequence, including an N1 potential (approximate peak latency 300 ms) believed to reflect initial sensory processing of olfactory stimuli followed by a P2 potential (600 ms), which is comparable to those reported in prior studies (Pause et al., 1996; Turetsky et al., 2003). By explicitly including the mastoid reference sites (TP9/10), however, it becomes obvious that recording sites along the reference-dependent isopotential line (e.g., T7/8, FT9/10, P9/10) showed little or no ERP activity. Thus, ERP activity at these sites is severely attenuated because of the arbitrary choice of the recording reference (Kayser

& Tenke, 2006a, 2006b; Tenke & Kayser, 2005). In contrast, the reference-free CSD waveforms (black dashed lines) identified robust sink activity at these sites, which was not compromised by the choice of reference. Although the observed N1 sink and P2 source in the CSD waveforms directly corresponded to the N1 and P2 potentials in the OERP waveforms, marked topographic distinctions were evident, particularly with respect to the fronto-temporal N1 sink and lateral frontal sinks associated with the mid-parietal P2 source.⁴

N1 sink and P2 source amplitudes were greater to high- than low-intensity H₂S stimuli in both patients and healthy adults, further confirming their relationship to olfactory processing (Figure 2). Schizophrenia patients showed similar olfactory ERP and CSD waveforms when compared to controls, but their N1 sink and P2 source amplitudes were smaller.

PCA Component Waveforms and Topographies

The first four PCA factors effectively explained all of the systematic CSD variance (82.6% after rotation). The time courses of the factor loadings (Figure 3A) and the corresponding factor score topographies (Figure 3B) identified two factors corresponding to N1 sink (305 ms peak latency, lateral frontotemporal maximum) and P2 source (630 ms peak latency, mid-parietal maximum). Two later factors had a frontocentral (1015 ms) or parietal (1750 ms) midline sink maximum, suggesting a close correspondence to the response requirements in this task (i.e., raising left or right hand; cf. Kayser et al., 2007) and were therefore not further analyzed.

Both healthy adults and schizophrenia patients had bilateral N1 sinks for the high odor concentration over the lateral temporal sites (Figure 3B, top, first column) and a corresponding mid-frontopolar source. Similarly, both controls and patients showed a medial parietal P2 source topography to both low and high odor concentrations, with current sinks maximal over lateral frontotemporal regions (Figure 3B, bottom, Columns 1 and 2). The reduced amplitude of the N1 sink and P2 source in patients was most evident to the high concentration of H₂S.

Repeated Measures ANOVA of PCA Factor Scores

N1 sink. At lateral centrotemporal sites (T7/8, C3/4, FC5/6, CP5/6) for factor 305, there was a highly significant main effect of intensity, $F(1,63) = 131.7$, $p < .0001$, confirming the presence of the N1 sink for high but not low odor intensities (Figure 3B, top; for detailed ANOVA means, see supplementary Table A1). A significant Group \times Intensity interaction, $F(1,63) = 6.11$, $p = .02$, resulted from a reduction of N1 sink amplitude in schizophrenia for high- but not low-intensity stimuli: simple group main effects at high intensity, $F(1,63) = 5.87$, $p = .02$, at low intensity, $F(1,63) < 1.0$, *n.s.* There were also significant interactions of Group \times Gender, $F(1,63) = 4.15$, $p = .05$, and of Group \times Gender \times Intensity, $F(1,63) = 4.87$, $p = .03$, which originated from greater high intensity N1 sinks for healthy women compared to healthy men ($M \pm SD$, -1.42 ± 1.57 vs. -0.97 ± 0.92), with patients showing the opposite gender effect (-0.51 ± 0.95 vs. -0.95 ± 1.02); simple Group \times Gender interaction effects, at high intensity, $F(1,63) = 5.27$, $p = .03$, at low intensity, $F(1,63) < 1.0$, *n.s.*

³The ERP/CSD component structure was highly comparable for healthy adults and schizophrenia patients (see Figures A1 and A2 in the supplementary material).

⁴Animated ERP (linked-mastoids reference) and CSD topographies comparing groups and intensities can be obtained at URL <http://psychophysiology.cpmc.columbia.edu/oerp2008.html>.

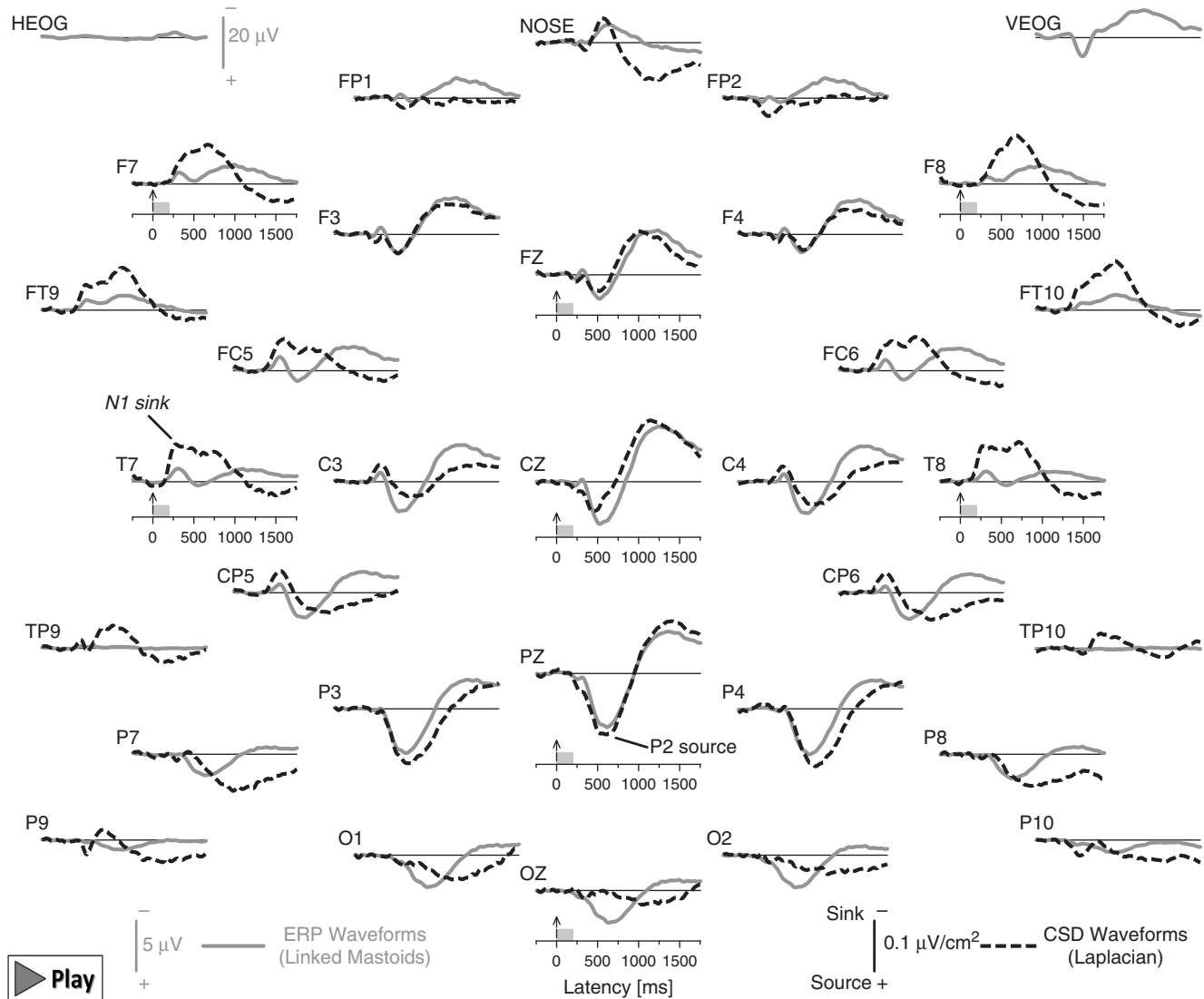


Figure 1. Grand mean olfactory ERPs referenced to linked mastoids and reference-free CSD waveforms for the total sample ($N = 67$) at all 31 recording sites (averaged across intensity). Horizontal and vertical electrooculograms (EOG), which are shown at a smaller scale before blink correction, indicate no eye artifact concerns. Two prominent CSD components are labeled at sites T7 (N1 sink) and Pz (P2 source), where they closely corresponded to their ERP counterparts.

The analysis for the frontopolar source (Fp1/2) accompanying the bilateral centrotemporal sinks for factor 305 revealed highly significant intensity, $F(1,63) = 27.2$, $p < .0001$, and Group \times Intensity effects, $F(1,63) = 7.71$, $p = .007$, stemming from a greater high-larger-than-low-intensity amplitude difference for controls compared with patients (Figure 3B, top). Across groups, this source was also greater over the right than left frontopolar site: hemisphere main effect, $F(1,63) = 4.28$, $p = .04$.

P2 source. At medial-lateral centroparietal sites (P3/4, P7/8, CP5/6, C3/4) for factor 630, there was also a highly significant main effect of intensity, $F(1,63) = 74.5$, $p < .0001$, stemming from a greater P2 source for high than low odor concentration (Figure 3B, bottom; for detailed ANOVA means, see supplementary Table A2). A significant group main effect, $F(1,63) = 6.48$, $p = .01$, and a highly significant Group \times Intensity interaction, $F(1,63) = 14.0$, $p = .0004$, indicated smaller P2 source

in patients compared to healthy adults, which was significant for high (simple group main effect, $F(1,63) = 16.3$, $p = .0001$) but not low intensity stimuli, $F(1,63) < 1.0$, n.s. A significant hemisphere main effect, $F(1,63) = 5.99$, $p = .02$, resulted from right-larger-than-left P2 source across groups. A greater P2 source in women compared with men for both controls ($M \pm SD$, 0.71 ± 0.94 vs. 0.40 ± 0.81) and patients (0.38 ± 0.77 vs. 0.31 ± 0.72) yielded a significant gender main effect, $F(1,63) = 5.41$, $p = .02$.

The analysis for the lateral frontotemporal sinks (FT9/10, F7/8) accompanying the parietal P2 for factor 630 revealed a highly significant main effects of intensity, $F(1,63) = 16.8$, $p = .0001$, hemisphere, $F(1,63) = 13.2$, $p = .0006$, and gender, $F(1,63) = 14.1$, $p = .0004$, which resulted from greater sinks for high compared to low intensity and right-larger-than-left hemisphere sinks (Figure 3B, bottom), and greater sinks in women than men ($M \pm SD$, -0.97 ± 0.89 vs. -0.47 ± 0.84). However, there were no significant effects involving group.

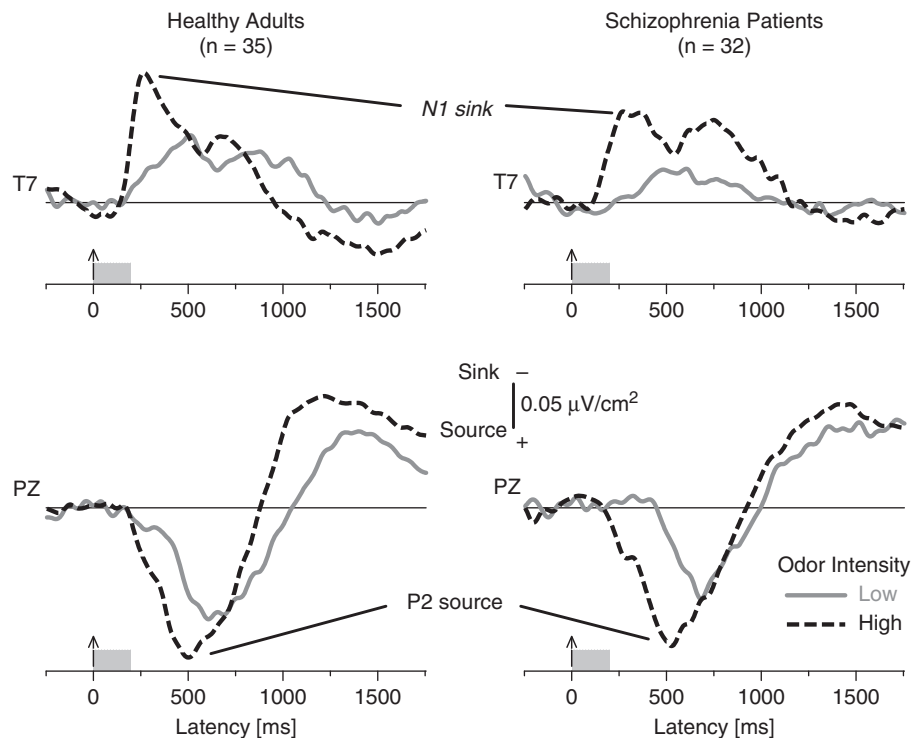


Figure 2. Grand mean olfactory CSDs for 35 healthy adults and 32 schizophrenia patients comparing low- and high-intensity H_2S stimuli at sites T7 and Pz.

Discussion

The application of the CSD-PCA approach identified factors corresponding to the N1 and P2 potentials, which have been consistently observed in OERP studies (Lorig, 2000; Pause & Krauel, 2000). Schizophrenia patients and healthy controls showed a prominent N1 sink over frontotemporal sites and a corresponding mid-frontopolar source. This topography is fully compatible with postulated generators within the medial temporal lobe and/or basal cortical regions (e.g., orbital frontal cortex; cf. Martzke et al., 1997). In addition, the observed N1 sink topography was distinctly unique, that is, it did not match generator patterns previously described for early visual (e.g., Kayser et al., 2006, 2007, 2009) or auditory components (e.g., Kayser & Tenke, 2006a, 2006b; Kayser et al., 2007, 2009; Tenke et al., 2008, 2010), which strongly suggests that the underlying neuronal activity may indeed reflect an early, modality-specific processing stage during odor perception. In contrast, the P2 source had a mid-parietal topography, with current sinks over lateral frontotemporal sites, which is compatible with the notion of a close association of olfactory P2 with a classical P3b potential (e.g., Lorig, 2000; Olofsson et al., 2008). Moreover, the observed P2 source topography was highly similar to P3 source topographies repeatedly found during working and recognition memory paradigms using visual or auditory word stimuli (e.g., Kayser et al., 2006, 2007, 2009, 2010) or during auditory oddball paradigms (e.g., Kayser & Tenke, 2006a, 2006b; Tenke et al., 2010). The corresponding generators of olfactory P2 are therefore consistent with those of P3 in other modalities, rather than with regions unique to olfaction. Although this agrees with the P3-like interpretation of the P2 source, the likeness of the olfactory N1 sink to N1 activity observed for other modalities may be challenged by the suggestion that the olfactory bulbs themselves may

be closer homologs to the primary sensory cortices of other modalities than are piriform cortex and related olfactory cortical regions (Haberly, 2001). In this scenario, it is unlikely that neuronal activity of primary olfactory processing, equivalent to calcarine or Heschl's gyrus activation within the visual or auditory pathways, will propagate to scalp and may therefore not register as an ERP component. Another consideration is that the completely different organization of the olfactory system (e.g., lack of thalamocortical projections, afferent and efferent projections of primary sensory cortex vs. limbic cortex) makes a homology with N1 from other modalities improbable. Rather, olfactory N1 sink activity peaking around 300 ms may instead reflect functional activation of secondary olfactory regions, including piriform cortex, analogous to inferior-temporal visual association cortex (see Figure 13 in Haberly, 2001). The implication of this proposition is that N1 sink could be regarded as an olfactory N2, analogous to an auditory or visual N2. In this case, the olfactory N1 should be associated with stimulus categorization and classification, and the sequence of olfactory N1 sink and P2 source in the present odor detection paradigm would be the olfactory equivalent of an N2/P3 complex typically observed during many ERP paradigms, including an oddball task. Although it is not impossible that an olfactory N1 originates in basal cortex, and the observed bilateral temporal N1 sink pattern is not necessarily inconsistent with this assumption, the preferential access of olfaction to evaluative (also limbic) processes would suggest a functional correlate that is consistent with N2-like categorization.

The N1 sink and P2 source were greater to high than low concentrations of H_2S , which is in accord with prior studies (Stuck et al., 2006; Turetsky et al., 2003) and supports their relation to olfactory processing. It is also compatible with the idea the N1 sink reflects N2-like categorization processes, although future studies have to pursue this hypothesis with a more ap-

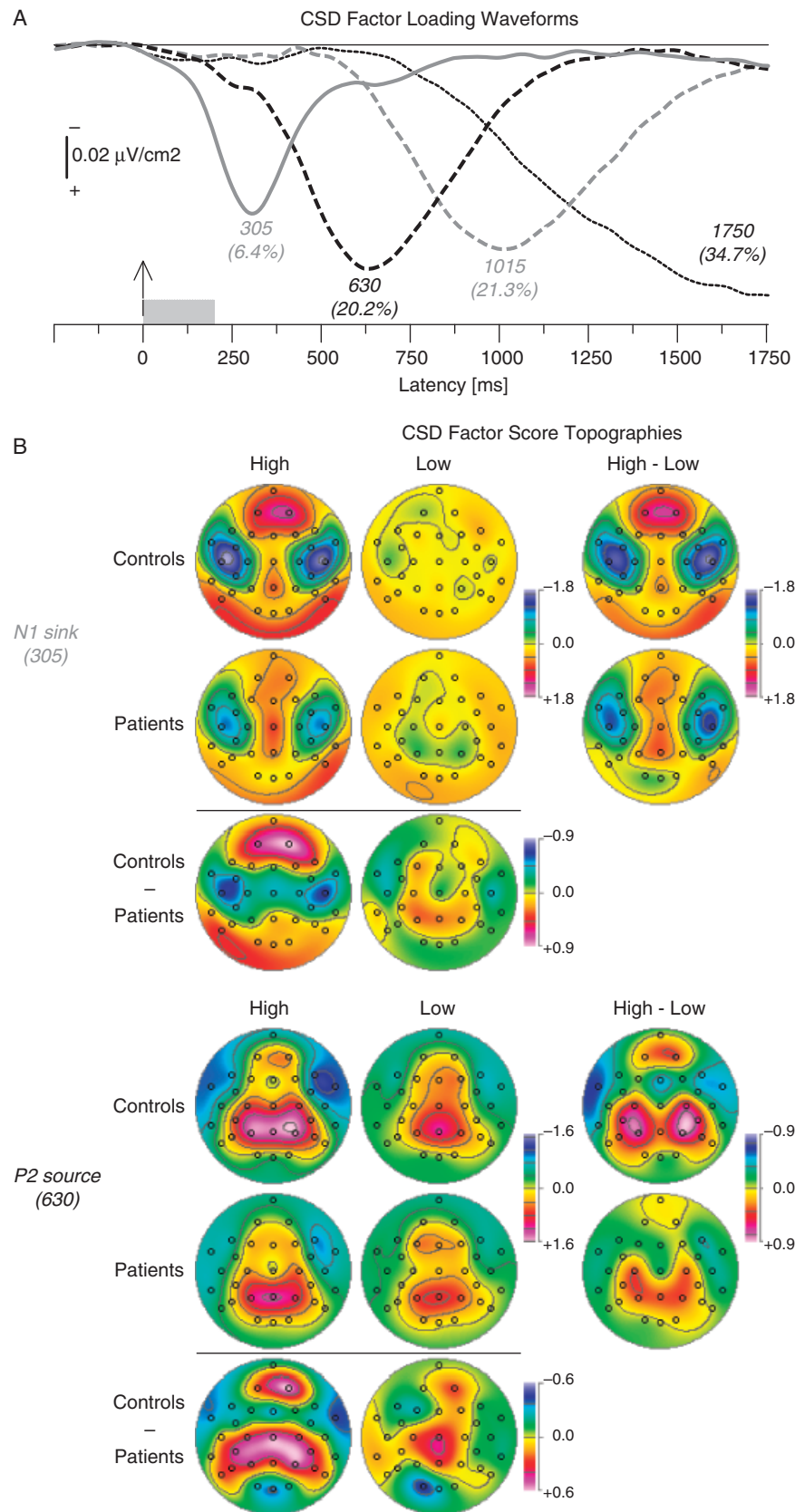


Figure 3. (a) Factor loadings of the first four PCA factors (labels indicate peak latency [with variance explained]) extracted from olfactory CSD waveforms ($N = 67$). (b) CSD factor score topographies corresponding to N1 sink (top) and P2 source (bottom) for 35 healthy controls and 32 schizophrenia patients comparing low- and high-intensity H_2S stimuli. Margins show difference topographies for intensity (high minus low) and group (controls minus patients).

appropriate design, for instance, by including a broader parametric manipulation or different odors. Notably, as the current data were based on 12–16 trials per intensity level, it is evident that viable and meaningful olfactory ERP/CSD averages can be obtained with a relatively small number of trials.

Schizophrenic patients had reduced N1 sink and P2 source amplitudes to the higher concentration of H₂S, replicating the findings of Turetsky et al. (2003). The reduced OERPs in schizophrenia patients were present in the absence of behavioral differences between patients and controls. Schizophrenia patients showed considerable success in performing the olfaction task, and their behavioral performance was on a par with that for healthy controls. This indicates that the OERP reductions in schizophrenia are not due to a failure to attend to stimuli or overall poorer task performance. Instead, it is more parsimonious to presume that the OERP differences reflect an abnormality in obligatory processing of odors in cortical regions related to olfaction. Similarly, the lack of an association of olfactory identification and neurocognitive test performance (Continuous Performance Test and Wisconsin Card Sorting Test) has been cited as evidence that reduced olfactory function in schizophrenia is not secondary to deficits in attention or executive function (Seidman et al., 1997). It still remains to be demonstrated, however, whether the OERP deficits in schizophrenia are specific to olfactory processing or stem from a frontotemporal dysfunction that affects ERPs in multiple modalities. Given our N2-like interpretation of the olfactory N1 sink, its marked reduction in schizophrenia is in striking accordance with ERP evidence documenting profound reductions of N2 amplitudes across processing modalities and paradigms (e.g., Alain, Bernstein, He, Cortese, & Zipursky, 2002; Alain, Cortese, Bernstein, He, & Zipursky, 2001; Bruder et al., 1998, 1999; Kayser et al., 1999, 2001, 2009; O'Donnell et al., 1993; Umbricht, Bates, Lieberman, Kane, & Javitt, 2006).

The reduction of N1 sink over lateral temporal lobe sites and P2 source over medial parietal sites in schizophrenia patients was bilateral and not dependent on hemisphere. However, the P2 source and lateral frontotemporal sink, as well as the frontopolar source accompanying N1, were greater over right than left hemisphere sites across both patients and healthy adults. In this regard, brain-damaged patients with lesions to the temporal lobe or orbitofrontal cortex, particularly in the right hemisphere, showed deficits in higher-order odor processing (Jones-Gotman & Zatorre, 1993), and patients with right-sided lesions of the frontal or temporal lobe showed decreased amplitudes of P2 and P3 potentials to odors at parietal sites (Daniels et al., 2001). Positron emission tomography (PET) studies measuring regional cerebral blood flow (rCBF) in healthy adults judging the pleasantness and intensity of odors have provided additional evidence supporting the important role of right orbitofrontal cortex in olfactory processing (Zatorre, Jones-Gotman, & Rouby, 2000). Malaspina et al. (1998) measured rCBF (using SPECT scans) in 6 schizophrenia patients and 7 controls during an odor identification task, and the patients showed hypometabolism in right cortical regions, including the inferior frontal area, superior temporal lobe, and supramarginal and angular gyrus. A review of hemodynamic evidence of lateralized olfactory processes suggested that olfactory stimuli differentially activate left or right brain regions, including medial temporal lobe and orbitofrontal cortex, but the inconsistent nature of this asymmetry has prompted suggestions that hemispheric differences depend on the cognitive or emotional processing demands (Royet & Plailly, 2004). Also, a study

of laterality of OERPs during monorhinal stimulation with amyl acetate in 28 healthy adults found generally larger N1/P2 amplitudes for left than right nostril stimulation and at left than right hemisphere sites for left nostril stimuli (Olofsson et al., 2006). A related issue that has attracted less attention in this context is the potential confound of blocking left or right stimulus presentations as mandated by use of an olfactometer, such as the one used in the current study. Blocked unilateral odor presentations could lead to corresponding contralateral shifts in attention (cf. Kinsbourne, 1970), which may interfere with the predominantly ipsilateral organization of the olfactory system (e.g., Martzke et al., 1997). Thus, additional research is needed to clarify the nature of hemispheric asymmetries of OERPs and their relation to stimulus and task demands.

A gender effect was found for the N1 sink that differed across groups. Namely, healthy women showed greater N1 for the high concentration of H₂S compared to healthy men, whereas schizophrenia patients showed the opposite gender effect. P2 also showed a gender effect, with women showing greater source and sink activity than men, but this was not dependent on group. Although Kopala, Clark, and Hurwitz (1989) originally reported that men with schizophrenia had greater olfactory impairment than women for smell identification, more recent studies by this and other groups have not replicated this gender effect (Kopala, Good, Martzke, & Hurwitz, 1995; Moberg et al., 1999; Seidman et al., 1997). Although we know of no reports examining gender differences in OERPs of schizophrenia patients, Becker et al. (1993) found larger P1/N1 and N1/P2 amplitudes for vanillin and H₂S odorants in women compared to men in a sample of healthy and psychosis-prone subjects (i.e., gender differences were unaffected by group classification), and Stuck et al. (2006) also found larger P2 amplitudes to H₂S in healthy women than men. Lundström and Hummel (2006), measuring ERPs of healthy adults to peppermint, which activates both olfactory and trigeminal systems, did not find a gender effect for P2 amplitude but did report that women had larger amplitude of N1 over the left than right hemisphere, whereas men had larger P1 amplitude over the right than left hemisphere. Although these studies suggest possible gender effects in OERPs, the extent to which they differ in schizophrenia patients and healthy adults needs further study.

There are several limitations of this study that should be noted. First, participants responded to the odors by raising their hand. Although this is unlikely to have affected the earlier OERP components (N1 or P2), it may have interfered with the measurement of later components (cf. Kayser et al., 2007). Second, subjects were not cued as to the time of odor presentation, and there was also no control of their breathing technique (i.e., natural breathing through mouth and nose). Although this could well have increased the variability of OERP measurements, leading to overall reduced OERP amplitudes compared to controlled breathing procedures (cf. Pause et al., 1999; Thesen & Murphy, 2001), there is no reason to believe that it would have differentially affected the schizophrenia patients and healthy adults. Third, OERPs were measured only to the unpleasant smelling odor of H₂S. One of the distinguishing features of olfactory stimuli is their strong affective associations and the brain regions mediating olfaction overlap with those mediating emotional processing. The extent to which deficits in OERPs in schizophrenia are related to the emotional valence of the odors is an important issue for future research (cf. Pause et al., 2008). Fourth, although the lack of antipsychotic medication control is

also a limitation, there is little evidence that medication status is related to performance on psychophysical measures of olfactory function (Moberg et al., 1999); however, the reported relation of neuroleptic treatment to asymmetrical olfactory thresholds (Purdon & Flor-Henry, 2000) may imply a more complex moderating influence of drug treatment on olfactory function. Lastly, this study compared schizophrenia patients and healthy controls, but there were marked individual differences in the OERPs among patients, which raises the possibility that only a subgroup of schizophrenia patients have OERP deficits. Further study should be given to examining clinical, neurophysiological, and neuroanatomical correlates of olfactory deficits in schizophrenia.

Apart from replicating the original findings of Turetsky et al. (2003) with a considerably larger sample, the current study ad-

vances olfactory ERP research by providing a complete, comparative topographic analysis of reference-independent current source densities underlying reference-dependent surface potentials. The PCA-based summary of orthogonal variance contributions identified a distinct, bilateral temporal N1 sink that appears to be unique to olfactory stimuli. This PCA-CSD component has a subtle ERP counterpart with similar topography that has not yet been reported in the literature, presumably because the common choice of a linked-mastoids reference attenuates the visibility of this topographic effect. In contrast, the topography of P2 source, the second prominent PCA-CSD component, was found to be highly similar to P3 source topographies observed for other stimulus modalities. The topographic CSD findings and insights for olfactory N1 and P2 are unique and may help stimulate methodological and theoretical advancements in the field.

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Supplementary Material

The following supplementary material is available for this article (all figures provided in PDF format):

Figure A1. Grand mean olfactory ERP (in μV) waveforms referenced to linked mastoids for 35 healthy adults and 32 schizophrenia patients at all 31 recording sites (averaged across intensity). Horizontal and vertical electrooculograms (EOG), which are shown at a smaller scale before blink correction, indicate no eye artifact concerns. Two prominent ERP components are labeled at sites T7 (N1) and Pz (P2).

Figure A2. Reference-free CSD ($\mu\text{V}/\text{cm}^2$) waveforms for 35 healthy adults and 32 schizophrenia patients at all 31 recording sites (averaged across intensity). Two prominent CSD components are labeled at sites T7 (N1 sink) and Pz (P2 source).

Table A1. Means (\pm SD) of N1 sink (factor 305)

Table A2. Means (\pm SD) of P2 source (factor 630)

This material is available as part of the online article from: <http://www.blackwell-synergy.com/doi/10.1111/j.1469-8986.2010.01013.x>. (This link will take you to the article abstract).

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Supplementary Material

Table A1. Means (\pm SD) of N1 sink (factor 305)

		Sink activity at lateral centrotemporal sites (T7/8, C3/4, FC5/6, CP5/6)			
Odor Intensity		High		Low	
Hemisphere		Left	Right	Left	Right
Controls (<i>n</i> = 35)	Male (<i>n</i> = 18)	-0.95 \pm 0.94	-0.99 \pm 0.92	0.07 \pm 0.48	0.17 \pm 0.58
	Female (<i>n</i> = 17)	-1.50 \pm 1.84	-1.35 \pm 1.26	-0.19 \pm 0.85	-0.08 \pm 0.70
Patients (<i>n</i> = 32)	Male (<i>n</i> = 18)	-0.91 \pm 0.97	-0.98 \pm 1.08	0.05 \pm 0.57	0.15 \pm 0.64
	Female (<i>n</i> = 14)	-0.55 \pm 0.97	-0.48 \pm 0.94	-0.11 \pm 0.80	0.07 \pm 0.59
		Accompanying source activity at frontopolar sites (Fp1/2)			
Odor Intensity		High		Low	
Hemisphere		Left	Right	Left	Right
Controls (<i>n</i> = 35)	Male (<i>n</i> = 18)	0.97 \pm 0.87	1.28 \pm 1.05	-0.24 \pm 0.70	0.09 \pm 0.67
	Female (<i>n</i> = 17)	1.03 \pm 1.80	1.46 \pm 1.23	-0.01 \pm 1.48	0.18 \pm 0.65
Patients (<i>n</i> = 32)	Male (<i>n</i> = 18)	0.33 \pm 1.11	0.70 \pm 0.74	0.01 \pm 1.35	-0.05 \pm 1.17
	Female (<i>n</i> = 14)	0.36 \pm 1.08	0.29 \pm 0.85	-0.09 \pm 1.13	0.35 \pm 1.59

Table A2. Means (\pm SD) of P2 source (factor 630)

		Source activity at medial-lateral centroparietal sites (P3/4, P7/8, CP5/6, C3/4)			
Odor Intensity		High		Low	
	Hemisphere	Left	Right	Left	Right
Controls (<i>n</i> = 35)	Male (<i>n</i> = 18)	0.67 \pm 0.81	0.73 \pm 0.91	0.08 \pm 0.63	0.13 \pm 0.62
	Female (<i>n</i> = 17)	0.94 \pm 1.06	1.08 \pm 0.95	0.38 \pm 0.79	0.44 \pm 0.71
Patients (<i>n</i> = 32)	Male (<i>n</i> = 18)	0.42 \pm 0.83	0.42 \pm 0.80	0.15 \pm 0.62	0.25 \pm 0.57
	Female (<i>n</i> = 14)	0.42 \pm 0.84	0.60 \pm 0.88	0.19 \pm 0.63	0.33 \pm 0.68
		Accompanying sink activity at lateral frontotemporal sites (FT9/10, F7/8)			
Odor Intensity		High		Low	
	Hemisphere	Left	Right	Left	Right
Controls (<i>n</i> = 35)	Male (<i>n</i> = 18)	-0.62 \pm 0.92	-0.69 \pm 0.93	-0.25 \pm 0.82	-0.36 \pm 0.67
	Female (<i>n</i> = 17)	-1.28 \pm 0.85	-1.62 \pm 0.89	-0.73 \pm 0.66	-0.95 \pm 0.67
Patients (<i>n</i> = 32)	Male (<i>n</i> = 18)	-0.48 \pm 0.95	-0.70 \pm 0.90	-0.24 \pm 0.58	-0.41 \pm 0.77
	Female (<i>n</i> = 14)	-0.84 \pm 1.07	-0.97 \pm 0.94	-0.56 \pm 0.68	-0.66 \pm 0.90

Figure A1. Grand mean olfactory ERP [μV] waveforms referenced to linked mastoids for 35 healthy adults and 32 schizophrenia patients at all 31 recording sites (averaged across intensity). Horizontal and vertical electrooculograms (EOG), which are shown at a smaller scale before blink correction, indicate no eye artifact concerns. Two prominent ERP components are labeled at sites T7 (N1) and Pz (P2).

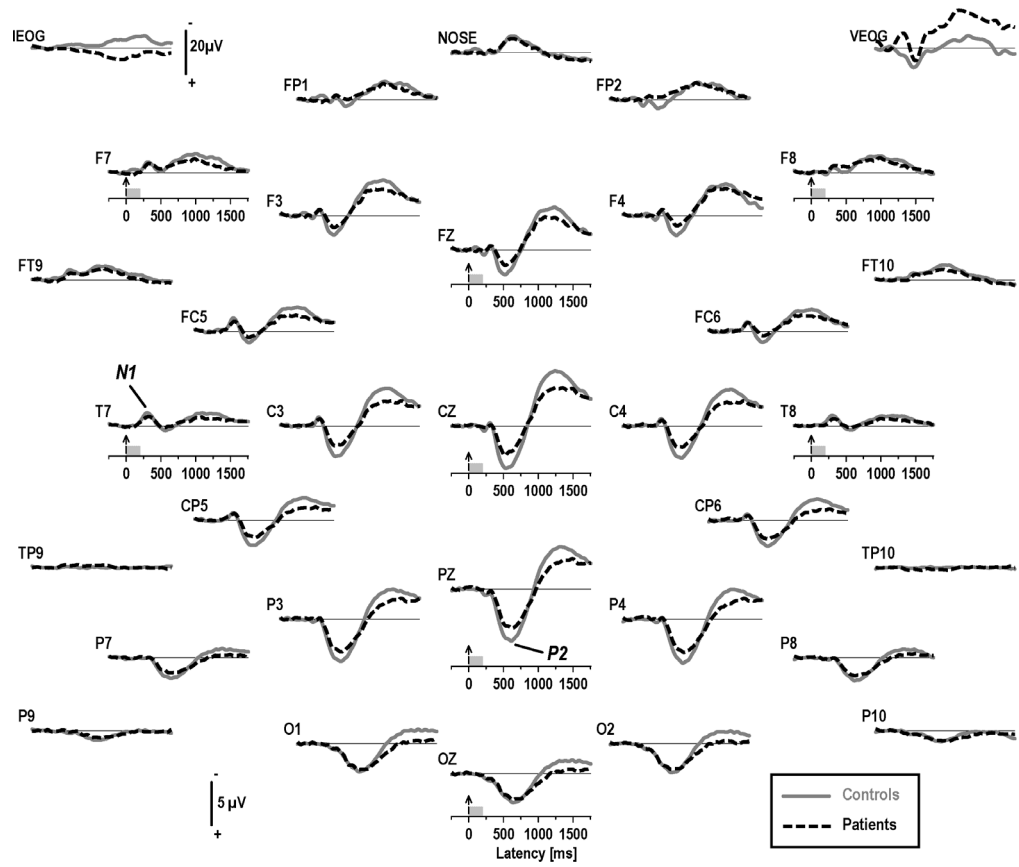


Figure A2. Reference-free CSD [$\mu\text{V}/\text{cm}^2$] waveforms for 35 healthy adults and 32 schizophrenia patients at all 31 recording sites (averaged across intensity). Two prominent CSD components are labeled at sites T7 (N1 sink) and Pz (P2 source).

